

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate of Mailing or Transmission**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or electronically transmitted to the U.S. Patent and Trademark Office on the date shown below:

/Leslie A. Van Leeuwen Reg. No. 42,196/ January 8, 2007  
Leslie A. Van Leeuwen Reg. No. 42,196 Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Calderaro, et al.

For: System and Method for Resource Reduction Receipt Log and Audit Trail

Enclosed are:

- X Information Disclosure Statement;
- X Modified form 1449 listing 3 references;
- X copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- X This IDS is being filed pursuant to CFR § 1.97(d) and:
  - X is accompanied by the fee set forth in § 1.17(p), please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]; and
  - X no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447.
- X Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By /Leslie A. Van Leeuwen Reg. No. 42,196/  
Leslie A. Van Leeuwen, Reg. No. 42,196  
Attorney for Applicant  
Telephone: (512) 301-6738  
Facsimile: (512) 301-6742

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	§	Group Art Unit: 3621
Calderaro, et. al.	§	
Serial No.: 10/042,414	§	
Filed: January 8, 2002	§	
Title: <u>System and Method for</u>	§	Intellectual Property Law Dept.
<u>Resource Reduction Receipt Log</u>	§	11400 Burnet Road
<u>and Audit Trail</u>	§	Austin, Texas 78758

INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

By /Leslie A. Van Leeuwen Reg. No. 42,196/  
Leslie A. Van Leeuwen, Reg. No. 42,196  
Attorney for Applicant  
Telephone: (512) 301-6738  
Facsimile: (512) 301-6742

In Place of FORM PTO-1449 (Modified)

Serial No. 10/042,414

Applicant: Calderaro

Filing Date: 1/8/2002

Group: 3621

Atty. Docket No. AUS920010786US1

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

**U. S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Classification Class / Subclass	Filing Date if Appropriate
_____	AA				
_____	AB				
_____	AC				
_____	AD				
_____	AE				
_____	AF				
_____	AG				
_____	AH				
_____	AI				
_____	AJ				
_____	AK				
_____	AL				

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Classification	Translation Yes No
_____	AM	WO 9526535 A1	10-1995	PCT	G06F 17/60
_____	AN				
_____	AO				
_____	AP				

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner Initial	
_____	AQ DeWitt, "The Structural Consequences of Downsizing," 2/1993, Organizational Science, Vol. 4, No. 1, pp. 30-40.
_____	AR Davidson, "Early Retirement Programs and Firm Performance," 12/1996, Academy of Management Journal, vol. 39, No. 4, pp. 970-984.
_____	AS
_____	AT
_____	AU

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.